

**U.S. District Court  
Southern District of New York (Foley Square)  
CIVIL DOCKET FOR CASE #: 1:19-cv-10151-LAK-OTW**

Kobre & Kim LLP v. Commodity Futures Trading Commission  
Assigned to: Judge Lewis A. Kaplan  
Referred to: Magistrate Judge Ona T. Wang  
Cause: 05:552 Freedom of Information Act

Date Filed: 10/31/2019  
Jury Demand: None  
Nature of Suit: 895 Freedom of Information Act  
Jurisdiction: U.S. Government Defendant

**Plaintiff**

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V.

**Defendant**

**Commodity Futures Trading  
Commission**

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Date Filed	#	Docket Text
10/31/2019	<u>1</u>	COMPLAINT against Commodity Futures Trading Commission. (Filing Fee \$ 400.00, Receipt Number ANYSDC-17873336) Document filed by Kobre & Kim LLP. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D)(Sauter, Benjamin) (Entered: 10/31/2019)
10/31/2019	<u>2</u>	CIVIL COVER SHEET filed. (Sauter, Benjamin) (Entered: 10/31/2019)
10/31/2019	<u>3</u>	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by Kobre & Kim LLP.(Sauter, Benjamin) (Entered: 10/31/2019)
10/31/2019	<u>4</u>	<b>FILING ERROR – DEFICIENT PLEADING – SUMMONS REQUEST PDF ERROR – REQUEST FOR ISSUANCE OF SUMMONS</b> as to Commodity Futures Trading Commission, re: <u>1</u> Complaint. Document filed by Kobre & Kim LLP. (Sauter, Benjamin) Modified on 11/1/2019 (dnh). (Entered: 10/31/2019)
11/01/2019		CASE OPENING INITIAL ASSIGNMENT NOTICE: The above–entitled action is assigned to Judge Lewis A. Kaplan. Please download and review the Individual Practices of the assigned District Judge, located at <a href="http://nysd.uscourts.gov/judges/District">http://nysd.uscourts.gov/judges/District</a> . Attorneys are responsible for providing courtesy copies to judges where their Individual Practices require such. Please download and review the ECF Rules and Instructions, located at <a href="http://nysd.uscourts.gov/ecf_filing.php">http://nysd.uscourts.gov/ecf_filing.php</a> . (dnh) (Entered: 11/01/2019)
11/01/2019		Magistrate Judge Ona T. Wang is so designated. Pursuant to 28 U.S.C. Section 636(c) and Fed. R. Civ. P. 73(b)(1) parties are notified that they may consent to proceed before a United States Magistrate Judge. Parties who wish to consent may access the necessary form at the following link: <a href="http://nysd.uscourts.gov/forms.php">http://nysd.uscourts.gov/forms.php</a> . (dnh) (Entered: 11/01/2019)
11/01/2019		Case Designated ECF. (dnh) (Entered: 11/01/2019)
11/01/2019		<b>***NOTICE TO ATTORNEY REGARDING DEFICIENT REQUEST FOR ISSUANCE OF SUMMONS. Notice to Attorney Benjamin Jeffrey Aaron Sauter to RE–FILE Document No. <u>4</u> Request for Issuance of Summons. The filing is deficient for the following reason(s): the PDF attached to the docket entry for the issuance of summons is not correct; the defendant name listed on the summons PDF must exactly match the party of record. Re–file the document using the event type Request for Issuance of Summons found under the event list Service of Process – select the correct filer/filers – and attach the correct summons form PDF. (dnh)</b> (Entered: 11/01/2019)
11/01/2019	<u>5</u>	REQUEST FOR ISSUANCE OF SUMMONS as to Commodity Futures Trading Commission, re: <u>1</u> Complaint. Document filed by Kobre & Kim LLP. (Sauter, Benjamin) (Entered: 11/01/2019)
11/04/2019	<u>6</u>	ORDER REFERRING CASE TO MAGISTRATE JUDGE: Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for General Pretrial (includes scheduling, discovery, non–dispositive pretrial motions, and settlement); Dispositive Motion (i.e., motion requiring a Report and Recommendation), All such motions. Referred to Magistrate Judge Ona T. Wang. SO ORDERED. (Signed by Judge Lewis A. Kaplan on 11/4/2019) (jca) (Entered: 11/04/2019)
11/04/2019	<u>7</u>	ELECTRONIC SUMMONS ISSUED as to Commodity Futures Trading Commission. (dnh) (Entered: 11/04/2019)

11/06/2019	<u>8</u>	NOTICE OF APPEARANCE by David Harrison McGill on behalf of Kobre & Kim LLP. (McGill, David) (Entered: 11/06/2019)
11/06/2019	<u>9</u>	NOTICE OF APPEARANCE by Jonathan David Cogan on behalf of Kobre & Kim LLP. (Cogan, Jonathan) (Entered: 11/06/2019)
11/06/2019	<u>10</u>	NOTICE OF APPEARANCE by Michael Sangyun Kim on behalf of Kobre & Kim LLP. (Kim, Michael) (Entered: 11/06/2019)
11/06/2019	<u>11</u>	NOTICE OF APPEARANCE by Sean Stephen Buckley on behalf of Kobre & Kim LLP. (Buckley, Sean) (Entered: 11/06/2019)
11/18/2019	<u>12</u>	INITIAL CASE MANAGEMENT CONFERENCE ORDER: Initial Conference set for 1/22/2020 at 10:00 AM in Courtroom 20D, 500 Pearl Street, New York, NY 10007 before Magistrate Judge Ona T. Wang and further set forth in this Order. (Signed by Magistrate Judge Ona T. Wang on 11/18/2019) (rro) (Entered: 11/18/2019)
11/20/2019	<u>13</u>	AFFIDAVIT OF SERVICE (FOIA CASE). Commodity Futures Trading Commission served on 11/18/2019, answer due 12/18/2019. Service was made by MAIL. Document filed by Kobre & Kim LLP. (Attachments: # <u>1</u> Exhibit Affidavit of Aldane Chambers)(Sauter, Benjamin) (Entered: 11/20/2019)
11/21/2019	<u>14</u>	NOTICE OF APPEARANCE by Rachael Lightfoot Doud on behalf of Commodity Futures Trading Commission. (Doud, Rachael) (Entered: 11/21/2019)
11/25/2019	<u>15</u>	AFFIDAVIT OF SERVICE of Order Referring Case to Magistrate Judge, Order for Initial Pretrial Conference served on Commodity Futures Trading Commission on 11/25/2019. Service was made by MAIL. Document filed by Kobre & Kim LLP. (Sauter, Benjamin) (Entered: 11/25/2019)